

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Mark D. Anderson, Evan J. Bigall, Christine Clifford, Reed Hastings,  
Jon Sorensen and Douglas Pan

Application No.: 10/642,387

Group: 2193

Filed: August 15, 2003

Examiner: Todd D. Ingberg

Confirmation No.: 9477

For: REMOTE MONITORING OF COMPUTER PROGRAMS

<b>CERTIFICATE OF MAILING OR TRANSMISSION</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
<u>5/16/07</u> Date	<u>Julie Kertyzak</u> Signature
<u>Julie Kertyzak</u> Typed or printed name of person signing certificate	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Supplemental Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:  
☐ a Statement under 37 CFR 1.97(e), as checked below, or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with:  
☒ a Statement under 37 CFR 1.97(e), as checked below, and  
☒ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application file.  
(Filed after payment of issue fee)

05/16/2007 TBESHAWI 03838371 13642367

22.50 180.00

180.00 CP

Statement Under 37 CFR 1.97(e)

- ☒ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

*Applies to original applications (other than design) filed on or after May 29, 2000*

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references B1, C1 and C2 are enclosed.
- ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [OPTIONAL [The earlier application contains copies of the cited references.]
- ☒ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.
  - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
  - ☐ the enclosed English language abstract.

- ☐ Applicant requests that the following non-published pending applications be considered:  
(Affix a label or apply the stamp "Non-Published IDS Reference - Do Not Scan" to the front of each unpublished pending appl'n.)

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

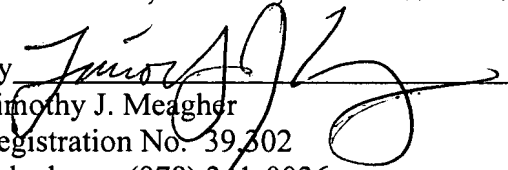
It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☒ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

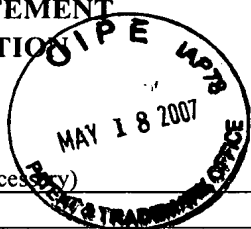
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
Timothy J. Meagher  
Registration No. 39,302  
Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: 5/16/7

PTO-1449 REPRODUCED  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  May 16, 2007  (Use several sheets if necessary)	ATTORNEY DOCKET NO. 3760.1015-000		APPLICATION NO. 10/642,387	
	FIRST NAMED INVENTOR Mark D. Anderson		FILING DATE August 15, 2003	
	EXAMINER Todd D. Ingberg	CONFIRMATION NO. 9477	GROUP 2193	



## U.S. PATENT DOCUMENTS

EXAM- -INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	A6	5,313,616	05-17-1994	Cline, <i>et al.</i>
	A7	5,333,304	07-26-1994	Christensen, <i>et al.</i>
	A8			
	A9			
	A10			
	A11			
	A12			
	A13			
	A14			
	A15			
	A16			
	A17			
	A18			
	A19			
	A20			
	A21			
	A22			
	A23			
	A24			
	A25			

EXAMINER	DATE CONSIDERED
----------	-----------------

<b>PTO-1449 REPRODUCED</b>  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  May 16, 2007  (Use several sheets if necessary)	<b>ATTORNEY DOCKET NO.</b> 3760.1015-000	<b>APPLICATION NO.</b> 10/642,387	
	<b>FIRST NAMED INVENTOR</b> Mark D. Anderson	<b>FILING DATE</b> August 15, 2003	
	<b>EXAMINER</b> Todd D. Ingberg	<b>CONFIRMATION NO.</b> 9477	<b>GROUP</b> 2193

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES NO	
	B1	EP 0 257 241 A2	03-02-1988	International Business Machines Corporation		
	B2					
	B3					
	B4					
	B5					
	B6					
	B7					
	B8					
	B9					
	B10					
	B11					
	B12					
	B13					
	B14					
	B15					
	B16					
	B17					
	B18					
	B19					
	B20					

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

PTO-1449 REPRODUCED  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  May 16, 2007  (Use several sheets if necessary)	ATTORNEY DOCKET NO. 3760.1015-000		APPLICATION NO. 10/642,387	
	FIRST NAMED INVENTOR Mark D. Anderson		FILING DATE August 15, 2003	
	EXAMINER Todd D. Ingberg	CONFIRMATION NO. 9477	GROUP 2193	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
C1	Williams, T., "Tool Set Technology Puts Testing in Developers' Hands," <i>Computer Design</i> , 33(7): pp. 52 and 54, (1 June 1994)	
C2	Anonymous, "Limiting Remote Access to Performance Data Suppliers," <i>Research Disclosure, Mason Publications, Emsworth, GB</i> , No. 346, p. 82 (1 February 1993).	
C3		
C4		
C5		
C6		
C7		
C8		
C9		
C10		
C11		
C12		
C13		
C14		
C15		
C16		
C17		

EXAMINER	DATE CONSIDERED
----------	-----------------